# Assessing the Utility of External Control Arms to Increase Precision in RWD38 Cardiorenal Trials: A Feasibility Study in an RCT Subgroup of Sodium-Glucose Cotransporter 2 Inhibitor Users

Alfredo E. Farjat<sup>1\*</sup>, <sup>2</sup>Chris Bauer, <sup>3</sup>Alexander Hartenstein, <sup>2</sup>Alexandra Zerck, <sup>2</sup>Johannes Schuchhardt, <sup>3</sup>Rachel Knapp, <sup>3#</sup>Robert Edfors, <sup>3</sup>Sascha van Boemmel-Wegmann

<sup>1</sup>Bayer BV, Hoofddorp, Netherlands; <sup>2</sup>MicroDiscovery GmbH, Berlin Germany; <sup>3</sup>Bayer AG, Berlin, Germany

\*corresponding author: Alfredo E. Farjat, <u>alfredo.farjat@bayer.com</u>. # affiliation at time of study

Disclosures: AEF is an employee of Bayer BV. CB, AZ and JS are employees of MicroDiscovery GmbH. AH, RK and SvBW are employees of Bayer AG. RE is a former employee of Bayer AG.

### Introduction

- Finerenone demonstrated a reduction in risk of kidney and cardiovascular events in patients with chronic kidney disease (CKD) and type 2 diabetes (T2D) in FIDELIO-DKD and FIGARO-DKD phase III randomized controlled trials (RCTs)<sup>1, 2</sup>
- Sodium-glucose co-transporter-2 inhibitors (SGLT2is) have been established as a treatment option for the management of CKD<sup>3</sup>
- FIDELITY (FIDELIO/FIGARO) pooled subgroup analyses indicated an independent and potentially synergistic effect of the concomitant use of "Finerenone + SGLT2is"<sup>4, 5</sup>

## **External Control Arm**

- Matching between ECA cohort to those from the RCT subgroup of SGTL2i users (n=877)
- 43 baseline covariates used for the adjustment
- Metric for assessing quality of matching: Absolute standardized mean difference (ASMD)

### Results

- Out of the external pool of 8,272 eligible patients, 877 were successfully matched to the pooled RCT subgroup of SGLT2is users
- However, the subgroup analysis provided limited evidence on use of "Finerenone + SGLT2is" vs "SGLT2is alone" due to low sample size and number of events<sup>5</sup>

# **Motivation / Research question**

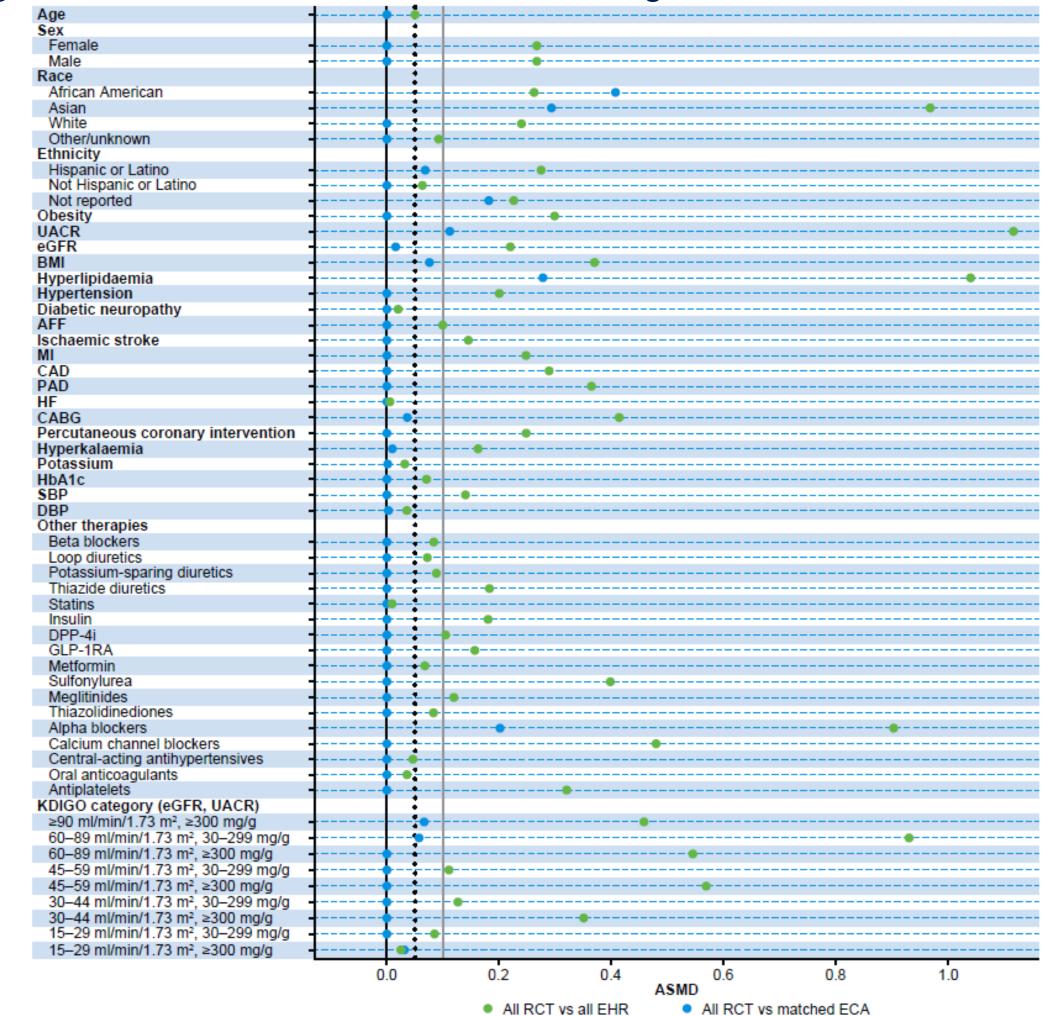
 Is it possible to complement RCT data from FIDELIO-DKD/FIGARO-DKD with SGLT2is users from RWD to get more precise estimates for the combined therapy "Finerenone+SGLT2i" compared to "SGLT2is alone"?

# **Objectives**

- Evaluate the feasibility of creating an external control arm (ECA) from RWD patients to augment the pooled comparator of SGLT2is users to estimate treatment effects
  - Build an ECA with matching patients from RWD to those from the pooled SGLT2is subgroup from FIDELIO-DKD/FIGARO-DKD phase III trials
  - Evaluate different matching/adjusting methods
  - Increase precision and statistical power of treatment effect estimates

### Methods / Rationale and study overview

- Linear integer programming algorithm showed best performance with respect to ASMD
- Median (Q1, Q3) ASMD across the 43 matching variables was 0.000 (0.000, 0.004) (Figure 3)
- Internal (ICA) and external (ECA) controls arms exhibited similar characteristics and outcomes (Figures 3 and 4)
- External augmentation of the ICA yielded a 1:3 ratio treatment to controls for the main analyses. Treatment effects were recalculated after augmentation (Figure 5)

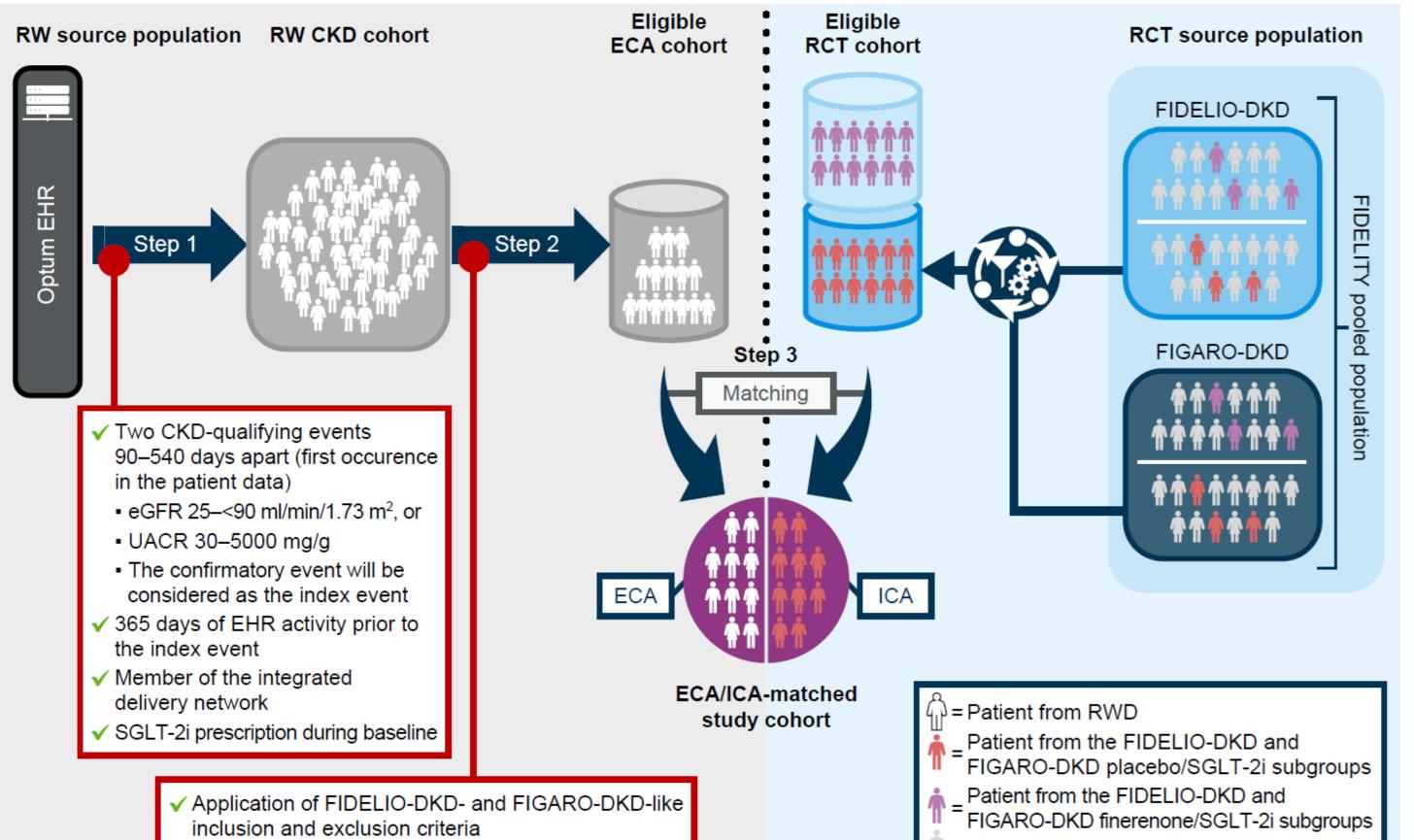


#### Figure 3: ASMD before and after matching between RCT and RWD

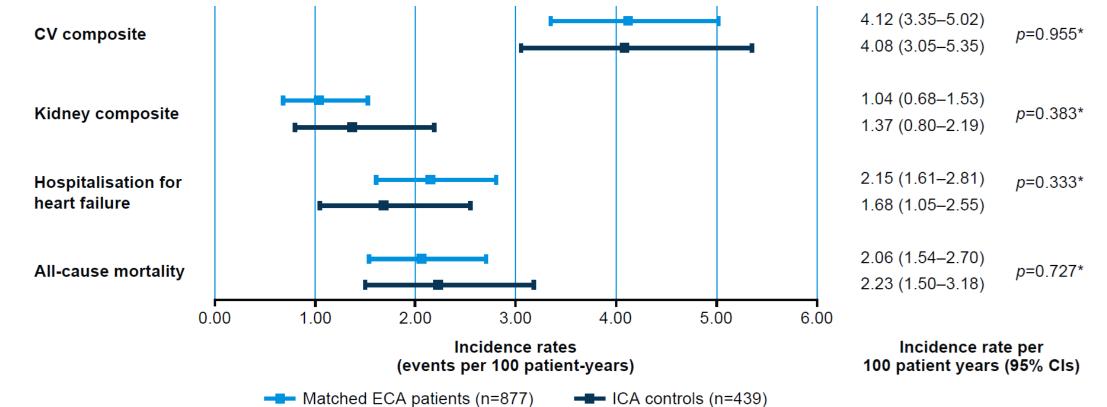
### **Selection Process**

 Selection criteria from the trials were adapted to identify eligible patients from Optum Electronic Health Records with CKD and T2D

Figure 1: Selection process of the ECA cohort and matching with RCT trials



# **Figure 4:** Incidence rates (events per 100 patient-years) of clinical outcomes from ICA and matched ECA patients

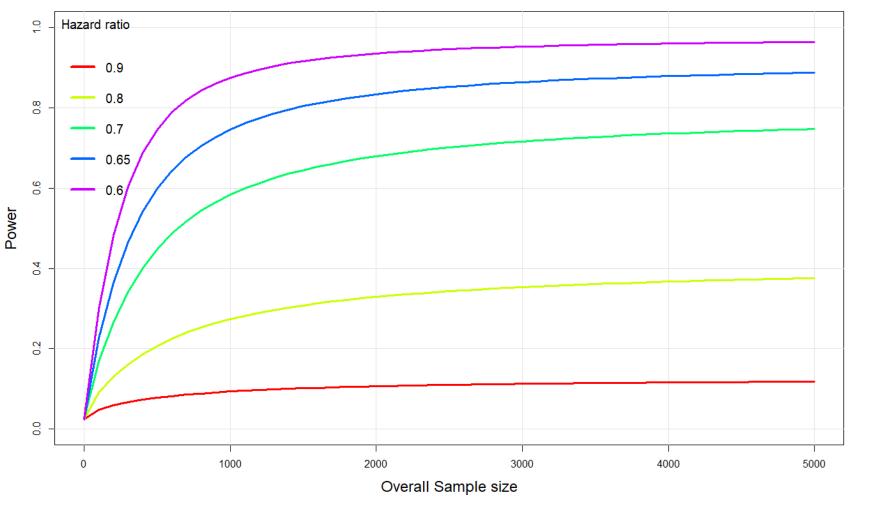


#### Figure 5: Hazard ratios of clinical outcomes from RCT and RCT+ECA



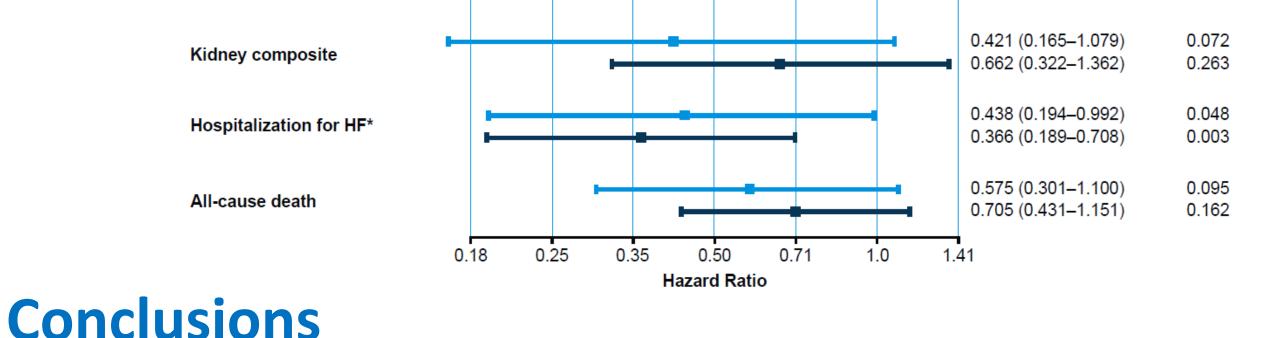
Patient from RCT who was not matched

#### Figure 2: Power as function of sample size and HR



## **Matching methods**

- For the CV composite outcome, with the sample size of the subgroup analysis and the estimated HR=0.672, a
- power of approximately 60% is achieved (Figure 2)
- Augmenting the control arm to a 1:3 ratio of treatment to controls, that is 438 (Finerenone + SGLT2is) vs 1314 (SGLT2is) leads to power of about 80% (Figure 2)
- Propensity score matching<sup>6</sup>, Genetic algorithm<sup>7</sup>, Linear Integer Programming<sup>7</sup>, Inverse Odds Weighting<sup>6</sup>



- High overall agreement in baseline characteristics and clinical outcomes between RCT and ECA patients
- Increased precision of treatment effect estimates achieved through ECA augmentation indicates beneficial effect of Finerenone versus SGLT2is alone
- Our results demonstrate the feasibility of creating an ECA in a large indication such as CKD and T2D

**References**: 1. Bakris GL, *et al. N Engl J Med* 2020;383:2219–2229; 2. Pitt B, *et al. N Engl J Med* 2021;385:2252–2263; 3. American Diabetes Association. *Clin Diabetes* 2022;40:10–38; 4. Agarwal R, *et al. Eur Heart J* 2022;43:474–484; 5. Rossing P, *et al. Diabetes Care* 2022;45:2991–2998; 6. Li et al. JASA. 2018; 113(521):390-400 7. Privitera S, *et al. Pharm Stat* 2024; 23:288–307.